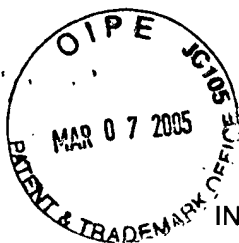


TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/815,061
	Filing Date	March 31, 2004
	First Named Inventor	Surajit Chaudhuri
	Group Art Unit	2171
	Examiner Name	N/A
<input type="checkbox"/> Sent via Express Mail Label No.:	Attorney Docket Number	301552.01

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Drawing(s) (sheets)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Declaration <input type="checkbox"/> Newly Executed (pages) <input type="checkbox"/> A copy from a prior application (37 CFR 1.63(d)) (pages)	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
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<input checked="" type="checkbox"/> Information Disclosure Statement with Form PTO/SB/08A (3 pages)	<input type="checkbox"/> Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> A copy of the Notice to File Missing Parts Under 37 CFR 1.52 or 1.5	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Application Data Sheet
CERTIFICATE OF MAILING OR TRANSMISSION (Under 37 CFR § 1.8(a)) I hereby certify that this correspondence is being: <input checked="" type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450; or <input type="checkbox"/> transmitted by facsimile on the date shown below to the USPTO at (703) _____ <u>March 4, 2005</u> Date	<input type="checkbox"/> General Power of Attorney (SB80) <input type="checkbox"/> 37 CFR 3.73(b) Statement	<input type="checkbox"/> Request for Corrected Filing Receipt
Signature <u>Paul Heynssens</u> Printed Name	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Return Receipt Postcard
	<input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <input checked="" type="checkbox"/> Cited References in Computer Readable Format <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	<input checked="" type="checkbox"/> CD, Number of CD(s) 1	
Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required, or credit any overpayments, to Deposit Account No. 50-0463 for the above identified patent application.		

SIGNATURE OF ATTORNEY OR AGENT					
Signature	<u>Paul Heynssens</u>		Reg. No.	47,648	
Name of Attorney or Agent			Paul Heynssens		
Date	March 4, 2005	Tel.	(425) 707-3913	Facsimile No.	(425) 708-5046
Assignee Name:			MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052		
Customer Number:			22971		



ATTORNEY'S DOCKET NO: MS 301552.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Surajit Chaudhuri

Attorney Docket No.: 301552.01

Application No.: 10/815,061

Group Art Unit: 2171

Filed: 03/31/2004

Examiner: N/A

Customer No.: 22971

Confirmation Number: 7927

Title: PRIMITIVES FOR WORKLOAD SUMMARIZATION

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

STATEMENT FILED PURSUANT TO
THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. § 1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee is required.

PART II: Remarks

The Applicant hereby makes of record in the above-identified application the information listed on the attached forms PTO/SB/08A and 08B. The order of presentation of the references should not be construed as an indication of the importance of the references. Documents cited on the attached forms PTO/SB/08A and 08B are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO/SB/08A and 08B be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. § 102.

Notwithstanding any statement by the Applicant, the Applicant urges the Examiner to form his own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Respectfully submitted,
Microsoft Corporation, Applicant

By: 
Paul Heynssens, Reg. No. 47,648
Microsoft Corporation Redmond,
Washington
Telephone (425) 707-3913

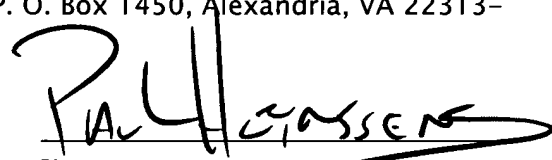
Dated: 3/4/04

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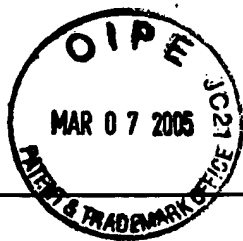
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Date 3/4/04


Signature

Paul Heynssens
Type or Print Name



Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Title Of Invention	Primitives for Workload Summarization
				Application Number	10/815,061
				Filing Date	03/31/2004
				First Named Inventor	Surajit Chaudhuri
				Art Unit	2171
				Examiner Name	N/A
Sheet	1	of	4	Attorney Docket Number	301552.01

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document Number – Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Kind Code ³ Country Code ³ Number ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	B					

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C	Aboulnaga, A. and Chaudhuri, S. <i>Self-Tuning Histograms: Building Histograms Without Looking at Data</i> . Proceedings of ACM SIGMOD, Philadelphia, 1999.	
	D	Acharya S., Gibbons P.B., and Poosala V. <i>Congressional Samples for Approximate Answering of Group-By Queries</i> . Proceedings of ACM SIGMOD, 2000.	
	E	Agrawal, S., Chaudhuri, S., and Narasayya, V. <i>Automated Selection of Materialized Views and Indexes for SQL Databases</i> . Proceedings of VLDB 2000.	

Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Matter No.: 301552.01

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	F	Agrawal S., Chaudhuri S., Kollar L., and Narasayya V. <i>Index Tuning Wizard for Microsoft SQL Server 2000</i> . White paper. http://msdn.microsoft.com/library/techart/itwforsql.htm	
	G	Borzsonyi S, Stocker K., Kossmann D. <i>The Skyline Operator</i> . Proceedings of ICDE 2001.	
	H	Calzarossa M., and Serazzi G. <i>Workload Characterization: A Survey</i> . Proceedings of IEEE, 81(8), Aug 1993.	
	I	Chandra, A. K., Hirschberg, D. S., and Wong, C. K. <i>Approximate Algorithms For Some Generalized Knapsack Problems</i> , Theoretical Computer. Science. 3, 293-304, 1976.	
	J	Chatziantoniou D. and Ross, K. A. <i>Groupwise Processing of Relational Queries</i> . Proceedings of VLDB 1997.	
	K	Chaudhuri S., Das G., Datar M., Motwani R., and Narasayya V. <i>Overcoming Limitations of Sampling for Aggregation Queries</i> . Proceedings of ICDE 2001.	
	L	Chaudhuri S., Das G., and Narasayya V. <i>A Robust, Optimization-Based Approach for Approximate Answering of Aggregate Queries</i> . Proceedings of ACM SIGMOD 2001.	
	M	Chaudhuri S., Gupta A, and Narasayya V. <i>Compressing SQL Workloads (Workload Compression)</i> . Proceedings of ACM SIGMOD 2002.	
	N	Chaudhuri S., Kaushik R., and Naughton J.F. <i>On Relational Support for XML Publishing: Beyond Sorting and Tagging</i> . Proceedings of ACM SIGMOD 2003.	
	O	Chaudhuri, S., and Narasayya, V. <i>AutoAdmin "What-If" Index Analysis Utility</i> . Proceedings of SIGMOD 1998.	
	P	Elnaffar S. <i>A Methodology for Auto-Recognizing DBMS Workloads</i> . Proceedings of CASCON'02.	

Examiner Signature		Date Considered	
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Matter No.: 308293.02

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	Q	Elnaffar S., Martin P., and Horman R. <i>Automatically Classifying Database Workloads</i> . In Proceedings of CIKM'02.	
	R	Fagin R. <i>Combining fuzzy information from multiple systems</i> . Proceedings of ACM PODS 1996.	
	S	Fagin R. <i>Fuzzy Queries in Multimedia Database Systems</i> . Proceedings of ACM PODS 1998.	
	T	Ganti V., Lee M.L., and Ramakrishnan R. <i>ICICLES: Self-tuning Samples for Approximate Query Answering</i> . Proceedings of VLDB 2000.	
	U	Johnson, D.S. <i>Approximation Algorithms for Combinatorial Problems</i> , Journal of Computer and System Sciences, 9 (1974), pp. 256—278.	
	V	Legaria, C. G., and Joshi, M. M. <i>Orthogonal Optimization of Subqueries and Aggregation</i> . Proceedings of SIGMOD 2001.	
	W	Papadias D., Tao Y., Fu G., Seeger B.: <i>An Optimal and Progressive Algorithm for Skyline Queries</i> . Proceedings of ACM SIGMOD 2003.	
	X	Srinivasan, A. <i>Improved Approximations of Packing and Covering Problems</i> , Proc. 27th Ann. ACM Symposium. on Theory of Comp., pp 268–276, 1995.	
	Y	Stillger M., Lohman C., and Markl V. <i>LEO—DB2's LEarning Optimizer</i> . In Proceedings of VLDB 2001.	
	Z	Tan K., Eng P., Ooi B.C.: <i>Efficient Progressive Skyline Computation</i> . Proceedings of VLDB 2001.	
	AA	Valentin, G., Zuliani, M., Zilio, D., and Lohman, G. <i>DB2 Advisor: An Optimizer Smart Enough to Recommend Its Own Indexes</i> . Proceedings of ICDE 2000.	
	BB	Yu P., Chen M., Heiss H., and Lee S. <i>On Workload Characterization of Relational Database Environments</i> . IEEE Transactions on Software Engineering, Vol 18, No. 4, April '92.	
	CC	<i>ILOG CPLEX: World's Leading Mathematical Programming Optimizers</i> , http://www.ilog.com/products/cplex	

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Matter No.: 308293.02

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	DD	Lovasz L. <i>On the Ratio of Optimal Integral and Fractional Covers.</i> Discrete Mathematics, 13(1975), pp. 383– 390.	

Examiner Signature		Date Considered	
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